State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-124 Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board at Sections 43000.5, 43013, and 43018 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned at Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9; and

IT IS ORDERED AND RESOLVED: That the following diesel engines and the exhaust emission control systems produced by the manufacturer are certified as described below for use in heavy-duty off-road equipment:

Model Year: 2000

Typical Equipment Usage: Dozer and Industrial equipment

Engine Power Ratings Range: 175 horsepower and greater

Fuel Type: Diesel

Engine Family	Displacement <u>Liters</u> <u>Cubic Inches</u>		Exhaust Emission Control Systems and Special Features		
YCPXL27.0HRK	27.0	1658	Engine Control Module Turbocharger Charge Air Cooler		

The engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The exhaust emission certification standards and certification values in grams per brake horsepower-hour (g/hp-h) for engines with power ratings between 175 and 750 horsepower, inclusive, for total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM), and the opacity-of-smoke certification standards and certification values in percent (%) during acceleration (Accel), lugging (Lug), and the peak-values from either mode (Peak) for this engine family are as follows (Title 13, California Code of Regulations, Section 2423):

Smoke Opacity (%) Exhaust Emissions (q/hp-h) Peak <u>Accel</u> Luq NOx <u>PM</u> THC <u>CO</u> 20 15 50 0.4 6.9 Standard 1.0 8.5 5 3 1 0.1 5.3 0.1 1.1 Certification

The exhaust emission certification standards and certification values in grams per brake horsepower-hour (g/hp-h) for engines with power ratings above 750 horsepower for total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM), and the opacity-of-smoke certification standards and certification values in percent (%) during acceleration (Accel), lugging (Lug), and the peak-values from either mode (Peak) for this engine family are as follows (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/hp-h)					Smoke Opacity (%)		
Standard Certification	<u>THC</u> 1.0 0.1	<u>CO</u> 8.5 1.1	<u>NOx</u> 6.9 5.3	<u>PM</u> 0.4 0.1	<u>Accel</u> 20 3	<u>Lug</u> 15 1	<u>Peak</u> 50 5

BE IT FURTHER RESOLVED: That the listed engine models comply with "Exhaust Emission Standards and Test Procedures—Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model-year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with "Emission Control Labels—1996 and Later Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model-year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Sections 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this ______ day of December 1999.

R. B. Summerfield, Chief

Mobile Source Operations Division

LARGE ENGINE MODEL SUMMARY

to: 4-1-124

Manufacturer: CATERPILLAR INC.

Process Code: New Submission

EM, DI, TC, ECM, EM, DIÇ₽C, ECM, CAC 8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torque Device Per SAE J1930 may change. 203.0 175.7 mm/stroke@peak torque these fuel rates 7.Fuel Rate: 218 251 ۲ Due to product- ion engine avgs. 2612 @ 1200 2261 @ 1200 6.Torque @ RPM (SEA Gross) Manufacturer Family Name: 4.Fuel Rate: 5.Fuel Rate: mm/stroke @ peak HP (lbs/hr) @ peak HP (for diesel only) (for diesels only) 263.4 216.5 nominal values. 217 179 758 @ 1800 640 @ 1800 3.BHP@RPM (SAE Gross) fuel rates are EPA Engine Family: YCPXL27.0HRK Note: Peak HP and Peak Torque 2.Engine Model 3412 3412 1.Engine Code 1 - Cert Engine